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#13

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 30, 2002 in an envelope as First Class Mail, addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

*Pat Tate*  
Pat Tate -

Attorney Docket No.: NEXTP009

First Named Inventor:

LASCELLES, et al

Application No. 09/991,894

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a Response to Notice to File Missing Parts in a patent application in the name of inventors:

**SCOTT LASCELLES  
JAY LUDLAM  
RICKY CHANG  
ERIC R. MEEKS**

For: **ON-LINE BALANCE TRANSFERS**

Application Elements:



Declaration



Newly executed (original or copy)



Copy from a prior application (37 CFR 1.63(d) for a continuation or divisional).

The entire disclosure of the prior application from which a copy of the declaration is herein supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.



Deletion of inventors Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

Accompanying Application Parts:



Information Disclosure Statement with Form PTO-1449



Copies of IDS Citations



Preliminary Amendment (*New claims numbered after highest original claim in prior application.*)



Return Receipt Postcard



Verified Statement Claiming Small Entity Status filed in prior application. Status still proper and desired.

Attorney Docket No. NEXTP009

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☐ Other:

Amendments

☐ Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (*At least one original independent claim must be retained.*)

Fee Calculation (37 CFR § 1.16)

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	RATE	SMALL ENTITY FEE	OR	LARGE ENTITY RATE	FEE
BASIC FEE				\$355 \$	OR	\$710 \$	
TOTAL CLAIMS	_____ -20 = _____			x9 = \$	OR	x18 = \$	
INDEP CLAIMS	_____ -03 = _____			x40 = \$	OR	x80 = \$	
[ ] Multiple Dependent Claim Presented				\$130 = \$	OR	\$260 = \$	
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.				Total \$	OR	Total \$	

☐ Applicants petition for a two (2) month extension of time to respond under 37 CFR § 1.136(a).

☒ Check No. 421 in the amount of \$954.00 is enclosed.

General Authorizations

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for this or any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0685 (Order No. NEXTP009).

☒ The Commissioner is given general authorization to charge any fees or to credit any overpayment during the pendency of this application to Deposit Account No. 50-0685 (Order No. NEXTP009).

☒ Please send correspondence to the following address:

Customer No. 21912  
VAN PELT & YI LLP  
4906 El Camino Real, Suite 205  
Los Altos, CA 94022

Date: 1/30/2002

William J. James  
William J. James  
Reg. No. 40,661



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# Section  
#3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,894	11/13/2001	Scott Lascelles	NEXTP009

CONFIRMATION NO. 4186

FORMALITIES LETTER



\*OC000000007147136\*

21912  
RITTER VAN PELT & YI, L.L.P.  
4906 EL CAMINO REAL  
SUITE 205  
LOS ALTOS, CA 94022

Date Mailed: 12/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$84.
  - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 954.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/25/2002 HDENESS1 00000042 09991894

740.00 01  
84.00 01  
130.00 01

01 FC:101  
02 FC:102  
03 FC:105